

**REQUEST FOR CORRECTED FILING RECEIPT**

Applicant	:	Van Hove, et al.
Appl. No.	:	10/580,930
Filed	:	May 30, 2006
For	:	GLYCOSYLATED TRIKETIDE DELTA LACTONES
Art Unit	:	1614

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the correct order of the inventors. They should be listed as 1) Charles Van Hove, 2) Edmond De Hoffmann, and 3) Benoit Mathieu. Presently, the Filing Receipt incorrectly shows them in reverse order. Enclosed are documents as originally filed to support this correction including a copy of Form PCT/IB/345.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: April 24, 2007

By: Che S. Chereskin

Che Swyden Chereskin, Ph.D.  
Registration No. 41,466  
Agent of Record  
Customer No. 20,995  
(949) 760-0404



## UNITED STATES PATENT AND TRADEMARK OFFICE

DECLERDA. 012APC DEA. CHC

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/580,930	05/30/2006	1614	830	DECLER59.012APC	2	26	1

CONFIRMATION NO. 8689

20995

KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

## FILING RECEIPT



\*OC000000022334347\*

Date Mailed: 02/27/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ③ Benoit Mathieu, Bruxelles, BELGIUM;
- ② Edmond De Hoffmann, Louvain-La-Neuve, BELGIUM;
- ① Charles Van Hove, Ceroux-Mousty, BELGIUM;

Power of Attorney: The patent practitioners associated with Customer Number **20995**.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/13406 11/28/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 02/05/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/580,930**

Projected Publication Date: 05/17/2007

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Glycosylated triketide delta lactones

**Preliminary Class**

514

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**NATIONAL PHASE  
UTILITY APPLICATION**

**Transmittal to the (DO/EO/US)  
Under 35 USC 371**

Attorney Docket No.: DECLE59.012APC  
First Named Inventor: Charles Van Hove  
Int'l Application No.: PCT/EP2003/013406  
Int'l Filing Date: November 28, 2003  
Priority Date Claimed: November 28, 2003  
Title: GLYCOSYLATED TRIKETIDE DELTA LACTONES  
Express Mail Label No.: EV 815166961 US

**Direct all correspondence to Customer No.: 20995**

Date: May 30, 2006  
Page 1 of 2

**Mail Stop PCT**

United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

**Inventors:**

1. Charles Van Hove
2. Edmond De Hoffmann
3. Benoit Mathieu

**APPLICATION ELEMENTS:**

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) This is an Express Request to begin national examination procedures (35 U.S.C. § 371(f)).
- (X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated by the International Bureau. Form PCT/IB/308 is enclosed.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) Copy of Declaration signed by the inventors (35 U.S.C. § 371(c)(4)).

**OTHER APPLICATION PARTS:**

- (X) Preliminary Amendment in 11 pages.
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration. Six references are listed and enclosed.
- (X) Abstract.
- (X) Form PCT/IB/345.
- (X) Return prepaid postcard.

**NATIONAL PHASE  
UTILITY APPLICATION**  
**Transmittal to the (DO/EO/US)**  
**Under 35 USC 371**

Attorney Docket No.: DECLE59.012APC  
First Named Inventor: Charles Van Hove  
Int'l Application No.: PCT/EP2003/013406  
Int'l Filing Date: November 28, 2003  
Priority Date Claimed: November 28, 2003  
Title: GLYCOSYLATED TRIKETIDE DELTA LACTONES  
Express Mail Label No.: EV 815166961 US

**Direct all correspondence to Customer No.: 20995**

Date: May 30, 2006  
Page 2 of 2

**FILING FEES:**

The present application qualifies for Small Entity Status under 37 CFR 1.27.

FEE CALCULATION				
FEE TYPE & DETERMINATION		SMALL FEE	CALCULATION	TOTAL
Basic Filing Fee under 1.492(a)		2631 (\$150)		\$150
Search Fee under 1.492(b) (enter one in TOTAL column)				
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		2640 (\$0)		\$200
(2) USPTO was the ISA (noncompliant claims)		2641 (\$50)		
(3) Foreign Search Report Enclosed		2642 (\$200)		
(4) No Search Report Enclosed		2632 (\$250)		
Examination Fee under 1.492(c) (enter one in TOTAL column)				
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		2643 (\$0)		\$100
(2) Non US IPEA or Noncompliant Claims		2633 (\$100)		
Excess Claims	28 - 20 = 8	2615 (\$25)	8 x 25 =	\$200
Excess Ind. Claims	1 - 3 = 0	2614 (\$100)	0 x 100 =	\$0
Multiple Dep. Claim		2616 (\$180)		\$180
<b>TOTAL FEE PAID</b>				<b>\$830</b>

(X) A check in the amount of \$830 to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.



Che Swyden Chereskin, Ph.D.  
Registration No. 41,466  
Agent of Record  
Customer No. 20,995  
(949) 760-0404

# PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

To:

BRANTS, Johan, Philippe, Emile  
De Clercq, Brants & Partners  
E. Gevaertdreef 10a  
B-9830 Sint-Martens-Latem  
BELGIQUE

PCT

COMMUNICATION IN CASES FOR WHICH  
NO OTHER FORM IS APPLICABLE

Date of mailing (day/month/year) 20 July 2005 (20.07.2005)	
Applicant's or agent's file reference UCL-065-PCT	REPLY DUE see paragraph 1 below
International application No. PCT/EP2003/013406	International filing date (day/month/year) 28 November 2003 (28.11.2003)
Applicant UNIVERSITE CATHOLIQUE DE LOUVAIN	

1. ☐ REPLY DUE within \_\_\_\_\_ months/days from the above date of mailing

☐ NO REPLY DUE, however, see below

☐ IMPORTANT COMMUNICATION

☒ INFORMATION ONLY

2. COMMUNICATION:

The International Bureau informs that, upon your request, it has proceeded with the change of order of the applicants for US only and inventors for all designated States as follows:

1. VAN HOVE, Charles
2. DE HOFFMANN, Edmond
3. MATHIEU, Benoit

A copy of the present communication is being sent to the receiving Office (RO/EP).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Antonia MULLER (Fax : 338 89 75)
Facsimile No. (41-22) 338.89.75	Telephone No. (41-22) 338.82.43